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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,305	01/23/2002	Hoon Bum Lee	B-4475 619477-6

LADAS & PARRY
Suite 2100
5670 Wilshire Boulevard
Los Angeles, CA 90036-5679



CONFIRMATION NO. 1950
FORMALITIES LETTER



OC000000007566419

Date Mailed: 03/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Phuong Dai
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2002 NBERHE 00000083 10057305

01 FC:201 370.00 OP
02 FC:205 65.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Hoon Bum LEE

Serial No.: 10/057,305

Filed: January 23, 2002

For: "HAIR TRANSPLANT DEVICE"

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

Group Art Unit: 3761

Examiner: unknown

Our Ref.: B-4475 619477-6

Date of this paper:

April 19, 2002



COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 03/01/2002 (copy attached).

DECLARATION OR OATH

[X] Please find enclosed the original Declaration/Power signed by the inventors.

AMENDMENT OF CLAIMS

[] Please cancel claims _____ inclusive

[] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

[X] Filing fee (37 CFR 1.16(a) - 740.00) \$370.00
(small entity - 370.00)

[] Fees for claims

[] Independent claim in excess of 3
(37 CFR 1.16(b) - 84.00)
(small entity - 42.00)

[] Claim in excess of 20
(37 CFR 1.16(c) - 18.00)
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Karyn Lao
(Typed or printed name of person mailing paper)

Date: April 19, 2002

(Signature of person mailing paper)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 270.00)
(small entity - 135.00)

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$ 65.00
(small entity - 65.00)

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h)(i) 40.00)

☐ Attached is an assignment to

☒ Claim to Priority with priority document

Total fees: \$435.00

SMALL ENTITY STATUS

☒ Verified statement that this filing is by a small entity is

☒ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$435.00.

☐ Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

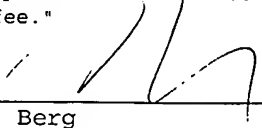
☒ The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."



Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145

c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hoon Bum LEE



) RE: Verified Statement Claiming
) Small Entity Status

Serial No.: 10/057,305

) Group: 3761

Filed: January 23, 2002

) Examiner: not yet assigned

For: "HAIR TRANSPLANT DEVICE"

) Our Ref: B-4475 619477-6

) Date: April 19, 2002

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

Enclosed for filing in the subject application is the executed Verified Statement Claiming Small Entity Status (Small Business Concern) signed by Hoon Bum LEE, President of HB Medicals Corporation.

Respectfully submitted,

Richard P. Berg
Attorney for Applicant
Reg. No. 28,145

c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202

Enclosure: Verified Statement Claiming Small Entity Status (1 page)

Applicant: Hoon Bum LEE Attorney's Docket No.: B-4475 619477-6
Serial or Patent No.: 10/057,305
Filed or Issued: January 23, 2002
For: "HAIR TRANSPLANT DEVICE"



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(F) AND 1.27(C) - SMALL BUSINESS CONCERN

I hereby declare that I am:

☒ the owner of the small business concern identified below:

☐ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: HB Medicals Corporation

Address of Concern: #1503 Masters Bldg., 553, Dohwa-Dong, Mapo-Gu,
Seoul 121-815, Republic of Korea

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: "HAIR TRANSPLANT DEVICE" by inventor(s): Hoon Bum LEE described in:

☐ the specification filed herewith.

☒ application serial no.: 10/057,305, filed January 23, 2002.

☐ patent no. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below*, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Hoon-Bum Lee
Title of Person Other Than Owner: President

Address of Person Signing: #1503 Masters Bldg. 553, Dohwa-Dong, Mapo-gu, Seoul, Korea 121-815

Signature: Hoon Bum Lee

Date: March 11, 2002